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Contact us

Tel: +86-755-8981 8866 Fax: +86-755-8427 6832

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User manual for the hardware and software of FL MGUARD security appliances

User manual UM EN FL MGUARD2



User manual

User manual for the hardware and software of FL MGUARD security appliances

2013-07-17

Designation: UM EN FL MGUARD2

Revision: 02

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| FL MGUARD RS4000 TX/TX | | 2700634 |
| FL MGUARD RS4000 TX/TX VPN | | 2200515 |
| FL MGUARD SMART2 | | 2700640 |
| FL MGUARD SMART2 VPN | | 2700639 |
| FL MGUARD PCI4000 | | 2701274 |
| FL MGUARD PCI4000 VPN | | 2701275 |
| FL MGUARD DELTA TX/TX | | 2700967 |

Please observe the following notes

User group of this manual

The use of products described in this manual is oriented exclusively to qualified application programmers and software engineers, who are familiar with the safety concepts of automation technology and applicable standards.

Explanation of symbols used and signal words



This is the safety alert symbol. It is used to alert you to potential personal injury hazards. Obey all safety measures that follow this symbol to avoid possible injury or death.

There are three different categories of personal injury that are indicated with a signal word.

DANGER This indicates a hazardous situation which, if not avoided, will re-

sult in death or serious injury.

WARNING This indicates a hazardous situation which, if not avoided, could

result in death or serious injury.

CAUTION This indicates a hazardous situation which, if not avoided, could

result in minor or moderate injury.



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1 Introduction

The FL MGUARD protects IP data connections by combining the following functions:

- Network card (FL MGUARD PCI4000)
- VPN router (VPN Virtual Private Network) for secure data transmission via public networks (hardware-based DES, 3DES, and AES encryption, IPsec protocol).
- Configurable firewall for protection against unauthorized access. The dynamic packet filter inspects data packets using the source and destination address and blocks undesired data traffic.

The device can be configured easily using a web browser.



Further information can be found on the Phoenix Contact website at: phoenixcontact.net/products

Network features

- Stealth (auto, static, multi), router (static, DHCP client), PPPoE (for DSL), PPTP (for DSL), and modem
- VLAN
- DHCP server/relay on the internal and external network interfaces
- DNS cache on the internal network interface
- Administration via HTTPS and SSH
- Optional conversion of DSCP/TOS values (Quality of Service)
- Quality of Service (QoS)
- LLDP
- MAU management
- SNMP

Firewall features

- Stateful packet inspection
- Anti-spoofing
- IP filter
- L2 filter (only in stealth mode)
- NAT with FTP, IRC, and PPTP support (only in router modes)
- 1:1 NAT (only in *router* network mode)
- Port forwarding (not in stealth network mode)
- Individual firewall rules for different users (user firewall)
- Individual rule sets as action (target) of firewall rules (apart from user firewall or VPN firewall)

Anti-virus features

- CIFS integrity check of network drives for changes to specific file types (e.g., executable files)
- Anti-virus scan connector which supports central monitoring of network drives with virus scanners

VPN features

- Protocol: IPsec (tunnel and transport mode)
- IPsec encryption in hardware with DES (56 bits), 3DES (168 bits), and AES (128, 192, 256 bits)
- Packet authentication: MD5, SHA-1
- Internet Key Exchange (IKE) with main and quick mode
- Authentication via:
 - Pre-shared key (PSK)
 - X.509v3 certificates with public key infrastructure (PKI) with certification authority (CA), optional certificate revocation list (CRL), and the option of filtering by subject

or

- Partner certificate, e.g., self-signed certificates
- Detection of changing partner IP addresses via DynDNS
- NAT traversal (NAT-T)
- Dead Peer Detection (DPD): detection of IPsec connection aborts
- IPsec/L2TP server: connection of IPsec/L2TP clients
- IPsec firewall and 1:1 NAT
- Default route over VPN
- Data forwarding between VPNs (hub and spoke)
- Depending on the license: up to 250 VPN channels
- Hardware acceleration for encryption in the VPN

Additional features

- Remote logging
- Router/firewall redundancy (can be installed later for each license, not for firmware version 7.0))
- Administration using SNMP v1-v3 and FL MGUARD device manager (FL MGUARD DM)
- PKI support for HTTPS/SSH remote access
- Can act as an NTP and DNS server via the LAN interface

Support



Additional information on the device as well as on release **NOTE**: notes and software updates can be found on the Internet at: phoenixcontact.net/products.

1.1 Device versions

The **FL MGUARD** is available in the following device versions, which largely have identical functions. All devices can be used regardless of the processor technology and operating system used by the connected computers.

FL MGUARD RS4000/ FL MGUARD RS2000 The **FL MGUARD RS4000** is a security router with intelligent firewall and optional IPsec VPN (10 to 250 tunnels). It has been designed for use in industry to accommodate strict distributed security and high availability requirements.

The **FL MGUARD RS2000** is a version with basic firewall and integrated IPsec VPN (maximum of two tunnels). Its scope of functions is reduced to the essentials. It is suitable for secure remote maintenance applications in industry and enables the quick startup of robust field devices for industrial use, thereby facilitating error-free, independent operation.

Both versions support a replaceable configuration memory in the form of an SD card. (The SD cards are not supplied as standard.) The fanless metal housing is mounted on a DIN rail.

The following connectivity options are available

FL MGUARD RS4000: (LAN/WAN)FL MGUARD RS2000: (LAN/WAN)TX/TXEthernet/EthernetTX/TX VPNEthernet/Ethernet + VPN

TX/TX VPN Ethernet/Ethernet + VPN



Figure 1-1 FL MGUARD RS4000/FL MGUARD RS2000

FL MGUARD SMART2

The **FL MGUARD SMART2** is the smallest device version. For example, it can be easily inserted between the computer or local network (at the LAN port of the FL MGUARD) and an available router (at the WAN port of the FL MGUARD), without having to make configuration changes or perform driver installations on the existing system. It is designed for instant use in the office or when traveling.

The FL MGUARD SMART2 is a further development of the FL MGUARD SMART.



Figure 1-2 FL MGUARD SMART2

FL MGUARD PCI4000

The FL MGUARD PCI4000 has the design of a PCI-compatible plug-in board.

The FL MGUARD PCI4000 is suitable for distributed protection of industrial and panel PCs, individual machines, or industrial robots. It has a configuration memory in the form of a replaceable SD card, which can be easily accessed on the front.



Figure 1-3 FL MGUARD PCI4000

FL MGUARD DELTA TX/TX

The **FL MGUARD DELTA TX/TX** is ideal for use in desktop applications, in distribution compartments, and other environments close to production process with low requirements for industrial hardening.

Individual devices or network segments can be safely networked and comprehensively protected. The FL MGUARD DELTA TX/TX can be used as a firewall between office and production networks as well as a security router for small and medium-sized workgroups.



Figure 1-4 FL MGUARD DELTA TX/TX

2 Operating elements and LEDs

2.1 FL MGUARD RS2000/4000

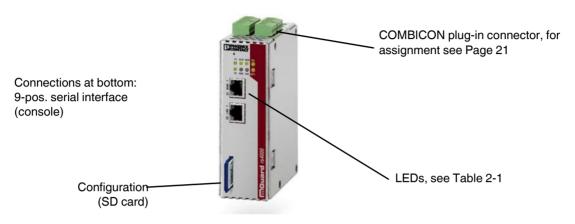


Figure 2-1 Operating elements and LEDs on the FL MGUARD RS2000/4000

Table 2-1 LEDs on the FL MGUARD RS2000/4000

| LED | State | | Meaning | |
|-----------|-------------------------------------|----------|--|--|
| P1 | Green | ON | Power supply 1 is active | |
| P2 | Green | ON | Power supply 2 is active (FL MGUARD RS2000: not used) | |
| STAT | Green | Flashing | Heartbeat. The device is correctly connected and operating. | |
| ERR | Red | Flashing | System error. Restart the device. | |
| | | | Press the Rescue button (for 1.5 seconds). | |
| | | | Alternatively, briefly disconnect the device power supply and then connect it again. | |
| | | | If the error is still present, start the <i>recovery procedure</i> (see Page 324) or contact your dealer. | |
| STAT+ ERR | Flashing alternately: green and red | | Boot process . When the device has just been connected to the power supply. After a few seconds, this LED changes to the heartbeat state. | |
| SIG | _ | | (Not used) | |
| FAULT | Red | ON | The alarm output is open due to an error (see "Installing the FL MGUARD RS4000/RS2000" on page 20). | |
| | | | (The alarm output is interrupted during a restart.) | |
| MOD | Green | ON | Connection via modem established | |
| INFO | Green | ON | The configured VPN connection has been established. | |
| | | Flashing | The configured VPN connection is being established or aborted. | |
| LAN | Green | ON | The LAN/WAN LEDs are located in the LAN/WAN sockets (10/100 and duplex LED) | |
| WAN | Green | ON | Ethernet status. Indicates the status of the LAN or WAN port. As soon as the device is connected to the relevant network, a continuous light indicates that there is a connection to the network partner in the LAN or WAN. When data packets are transmitted, the LED goes out briefly. | |

2.2 FL MGUARD SMART2



Rescue button

(Located in the opening. Can be pressed with a straightened paper clip, for example.)

Figure 2-2 Operating elements and LEDs on the FL MGUARD SMART2

Table 2-2 LEDs on the FL MGUARD SMART2

| LED | State | | Meaning | | |
|---------|--|----------|--|--|--|
| 1 | Green | ON | LAN: connection to the network partner is present | | |
| | | Flashing | LAN: data transmission is active | | |
| 2 | Red/gr een | Flashing | Boot process . When the device has just been connected to the power supply. After a few seconds, this LED changes to the heartbeat state. | | |
| | Green | Flashing | Heartbeat. The device is correctly connected and operating. | | |
| | Red Flashing System error. Restart the device. | | | | |
| | | | Press the Rescue button (for 1.5 seconds). | | |
| | | | Alternatively, briefly disconnect the device power supply and then connect it again. | | |
| | | | If the error is still present, start the <i>recovery procedure</i> (see "Performing a recovery procedure" on page 324) or contact your dealer. | | |
| 3 | Green ON WAN: connection to the network partner is present | | WAN: connection to the network partner is present | | |
| | Flashing WAN: data transmission is active | | | | |
| 1, 2, 3 | 1, 2, 3 Various LED ligh | | Recovery mode. After pressing the Rescue button. | | |
| codes | | | See "NOTE: Restart, recovery procedure, and flashing the firmware" on page 323 | | |

2.3 FL MGUARD PCI4000

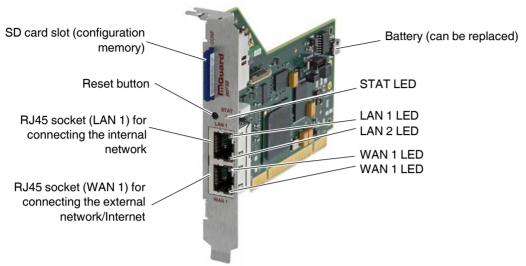


Figure 2-3 Operating elements and LEDs on the FL MGUARD PCI4000

Table 2-3 LEDs on the FL MGUARD PCI4000 SD

| LEDs | State | | Meaning |
|-------------------------|---------------|----------|---|
| WAN 1 | Green ON | | Full duplex |
| LAN 1 | | OFF | Half duplex |
| WAN 2 | Yellow | ON | 10 Mbps |
| LAN 2 | | Flashing | 10 Mbps, data transmission active |
| | Green | ON | 100 Mbps |
| | | Flashing | 100 Mbps, data transmission active |
| LAN 1 LAN 2 WAN 1 | | | Recovery procedure/flashing See "NOTE: Restart, recovery procedure, and flashing the firmware" on page 323 |
| STAT | Red/ green | Flashing | Boot process. When the device has just been connected to the power supply. After a few seconds, this LED changes to the heartbeat state. |
| | Green | Flashing | Heartbeat. The FL MGUARD is connected correctly and ready to operate. |
| • If th | | Flashing | |

2.4 FL MGUARD DELTA TX/TX

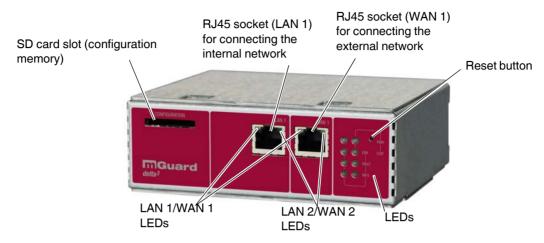


Figure 2-4 Operating elements and LEDs on the

Table 2-4 LEDs on the FL MGUARD DELTA TX/TX

| LEDs | State | | Meaning | |
|-------|----------|----------|--|--|
| WAN 1 | Green | ON | Full duplex | |
| LAN 1 | | OFF | Half duplex | |
| WAN 2 | Yellow | ON | 10 Mbps | |
| LAN 2 | | Flashing | 10 Mbps, data transmission active | |
| | Green ON | | 100 Mbps | |
| | | Flashing | 100 Mbps, data transmission active | |
| PWR | Green | ON | Supply voltage OK | |
| STAT | Green | Flashing | The FL MGUARD is ready to operate. | |
| ERR | Red | ON | System error | |
| FAULT | Red | ON | FL MGUARD in the booting or flashing state | |
| INFO | | | Not used | |

3 Startup

3.1 Safety notes

To ensure correct operation and the safety of the environment and of personnel, the FL MGUARD must be installed, operated, and maintained correctly.



WARNING: Intended use

Only use the FL MGUARD in an appropriate way and for its intended purpose.



WARNING: Only connect LAN installations to RJ45 sockets

Only connect the FL MGUARD network ports to LAN installations. Some telecommunications connections also use RJ45 sockets; these must not be connected to the RJ45 sockets of the FL MGUARD.

Please also note the additional safety notes for the device in the following sections.

General notes regarding usage



NOTE: Connection notes

- A free PCI slot (3.3 V or 5 V) must be available on your PC when using the FL MGUARD PCI4000.
- Do not bend the connecting cable. Only use the network connector for connection to a network.



NOTE: Select suitable ambient conditions

Ambient temperature:

0°C ... +40°C (FL MGUARD SMART2, FL MGUARD DELTA TX/TX)

0°C ... +60°C (FL MGUARD PCI4000 with battery)

0°C ... +70°C (FL MGUARD PCI4000 without battery)

-20°C ... +60°C (FL MGUARD RS4000/FL MGUARD RS2000)

Maximum humidity, non-condensing

20% ... 90%(FL MGUARD SMART2)

 $5\% \dots 95\%,$ (FL MGUARD RS4000/FL MGUARD RS2000, FL MGUARD PCI4000, FL MGUARD DELTA TX/TX)

To avoid overheating, do not expose to direct sunlight or other heat sources.



NOTE: Cleaning

Clean the device housing with a soft cloth. Do not use abrasive solvents.

Steps for startup

To start up the device, carry out the following steps in the specified order:

| Step | Aim | Page |
|------|--|---------|
| 1 | Check the scope of supply | Page 19 |
| | Read the release notes | |
| 2 | Connect the device | |
| | FL MGUARD RS4000/FL MGUARD RS2000 | Page 20 |
| | FL MGUARD PCI4000 | Page 25 |
| | FL MGUARD DELTA TX/TX | Page 28 |
| 3 | Configure the device, if required. | Page 30 |
| | Work through the individual menu options offered by the FL MGUARD configuration interface. | |
| | Read the explanations in this user manual in order to determine which settings are necessary or desirable for your operating environment | |

3.2 Checking the scope of supply

Before startup, check the scope of supply to ensure nothing is missing.

The scope of supply includes:

- The FL MGUARD RS4000, FL MGUARD RS2000, FL MGUARD SMART2, FL MGUARD PCI4000, FL MGUARD DELTA TX/TX device
- Packing slip

The FL MGUARD RS4000 and FL MGUARD RS2000 also include:

COMBICON plug-in connector for the power supply connection and inputs/outputs (inserted)

The FL MGUARD DELTA TX/TX also includes:

- 12 V DC power supply including different country adapters

3.3 Installing the FL MGUARD RS4000/RS2000

3.3.1 Assembly/removal

Mounting

The device is ready to operate when it is supplied. The recommended sequence for mounting and connection is as follows:

 Mount the FL MGUARD RS4000/RS2000 on a grounded 35 mm DIN rail according to DIN EN 60715.

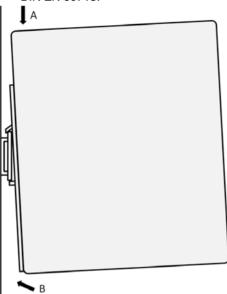


Figure 3-1 Mounting the FL MGUARD RS4000/RS2000 on a DIN rail

- Attach the top snap-on foot of the FL MGUARD RS4000/RS2000 to the DIN rail and then press the FL MGUARD RS4000/RS2000 down towards the DIN rail until it engages with a click.
- Remove or disconnect the connections.
- To remove the FL MGUARD RS4000/RS2000 from the DIN rail, insert a screwdriver horizontally in the locking slide under the housing, pull it down – without tilting the screwdriver – and pull up the FL MGUARD RS4000/RS2000.

3.3.2 Connecting to the network



WARNING: Only connect the FL MGUARD network ports to LAN installations. Some telecommunications connections also use RJ45 sockets; these must not be connected to the RJ45 sockets of the FL MGUARD.

- Connect the FL MGUARD to the network. To do this, you need a suitable UTP cable (CAT5), which is not included in the scope of supply.
- Connect the internal network interface LAN 1 of the FL MGUARD to the corresponding Ethernet network card of the configuration computer or a valid network connection of the internal network (LAN).

20 PHOENIX CONTACT 8334_en_02

Removal

3.3.3 Service contacts



WARNING: The service contacts (GND, CMD, CMD V+, ACK) must not be connected to an external voltage source; they should always be connected as described here.



Please note that only the "Service 1" contacts are used with firmware version 7.4 and 7.5. The "Service 2" contacts shall be made available with a later firmware version.



The COMBICON connectors of the service contacts may be removed or inserted during operation of the FL MGUARD.

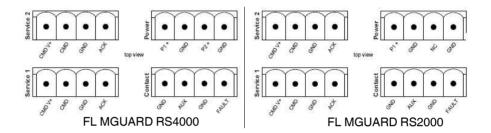


Table 3-1

| OI. | CMD V+ | CMD | GND | ACK |
|-----------|--------------------------------|---|---------------------|---------------------|
| Service 2 | Not used | Not used | Not used | Not used |
| | CMD V+ | CMD | GND | ACK |
| Service 1 | External control switch, pin 1 | External control switch, pin 2 | Alarm output (-) | Alarm output (+) |
| (O) | Example | | Example | |
| | | • | l L | |

| | P1+ | GND | P2+ | GND |
|---------|-------------|----------|--|-------------------------------|
| Power | +24 V | +0 V | +24 V | +0 V |
| Pov | See Section | 3.3.4 | FL MGUARD RS4000 only, see Section 3.3.4 | |
| | GND | AUX | GND | FAULT |
| Contact | Not used | Not used | Alarm output (-) | Alarm output (+) ¹ |
| | | | Example | |

¹¹ V ... 36 V when operating correctly; disconnected in the event of a fault

A **button** or an **on/off switch** (e.g., key switch) can be connected between **service contacts CMD+ and CMD**.

A standard lamp (24 V) can be connected between **contacts GND (-) and ACK (+)**. The contact is continuously short-circuit-proof and supplies a maximum of 250 mA.

The **button** or **on/off switch** is used to establish and release a predefined VPN connection. The output indicates the status of the VPN connection (see "IPsec VPN >> Global" on page 214 under "Options").

Operating a connected button

- To establish the VPN connection, hold down the button for a few seconds until the signal output flashes. Only then release the button.
 - Flashing indicates that the FL MGUARD has received the command to establish the VPN connection and is establishing the VPN connection. As soon as the VPN connection is established, the signal output remains lit continuously.
- To release the VPN connection, hold down the button for a few seconds until the signal output flashes or goes out. Only then release the button.

As soon as the signal output goes out, the VPN connection is released.

Operating a connected on/off switch

- To establish the VPN connection, set the switch to the ON position.
- To release the VPN connection, set the switch to the OFF position.

ACK LED

If the signal output is OFF, this generally indicates that the defined VPN connection is not present. Either the VPN connection was not established or it has failed due to an error.

If the ACK LED is ON, the VPN connection is present.

If the ACK LED is flashing, the VPN connection is being established or released.

Signal contact (alarm output)

The signal contact monitors the function of the FL MGUARD RS4000/RS2000 and thus enables remote diagnostics. The voltage at the signal contact corresponds to the supply voltage applied. The following is reported when monitoring the output voltage:

- Failure of at least one of the two supply voltages.
- Power supply of the FL MGUARD RS4000/RS2000 below the limit value (supply voltage 1 and/or 2 lower than 11 V).
- Link status monitoring of the Ethernet connections, if configured. By default upon delivery, the connection is not monitored. Monitoring can be set (see "Signal Contact" on page 52).
- Error during selftest.

During a restart, the signal contact is switched off until the FL MGUARD RS4000/RS2000 has started up completely. This also applies when the signal contact is manually set to "Closed" under "Manual configuration" in the software configuration.

3.3.4 Connecting the supply voltage



WARNING: The FL MGUARD RS4000/RS2000 is designed for operation with a DC voltage of 11 V DC ... 36 V DC/SELV, 1.5 A maximum.

Therefore, only SELV circuits with voltage limitations according to EN 60950-1 may be connected to the supply connections and the signal contact.

The supply voltage is connected via a COMBICON plug-in connector, which is located on the top of the device.



When the COMBICON connector is removed or inserted during operation of the FL MGUARD, the FL MGUARD is directly switched off.

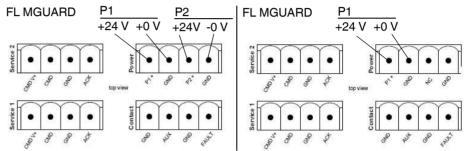


Figure 3-2 Connecting the supply voltage: FL MGUARD RS4000/FL MGUARD RS2000

The FL MGUARD RS4000 has a redundant supply voltage. If you only connect one supply voltage, you will get an error message.

- Take off the COMBICON connectors for the power supply and the service contacts.
- Do not connect the service contacts to an external voltage source.
- Wire the supply voltage lines to the corresponding COMBICON connector (P1/P2) of the FL MGUARD. Tighten the screws on the screw terminal blocks with 0.5 ... 0.8 Nm.
- Insert the COMBICON connectors in the intended COMBICON sockets on the top of the FL MGUARD (see Figure 3-2).

The status LED P1 lights up green when the supply voltage has been connected properly. On the FL MGUARD RS4000, status LED P2 also lights up if there is a redundant supply voltage connection.

The FL MGUARD boots the firmware. The status LED STAT flashes green. The FL MGUARD is ready for operation as soon as the Ethernet socket LEDs light up. Additionally, status LEDs P1/P2 light up green and the status LED STAT flashes green at heartbeat.

Redundant power supply (FL MGUARD RS4000)

A redundant supply voltage can be connected. Both inputs are isolated. The load is not distributed. With a redundant supply, the power supply unit with the higher output voltage supplies the FL MGUARD RS4000 alone. The supply voltage is electrically isolated from the housing.

If the supply voltage is not redundant, the FL MGUARD RS4000 indicates the failure of the supply voltage via the signal contact. This message can be prevented by feeding the supply voltage via both inputs (P1/P2) or by installing an appropriate wire bridge between connections P1 and P2.

3.4 Connecting the FL MGUARD SMART2



LAN port

Ethernet connector for direct connection to the device or network to be protected (**local** device or network).

USB connector

For connection to the USB interface of a computer.

For the power supply (default settings).

The FL MGUARD SMART2 (not the FL MGUARD SMART) can be configured such that a serial console is available via the USB connector (see Section 5.3.1.5).

WAN port

Socket for connection to the external network, e.g., WAN, Internet. (Connections to the remote device or network are established via this network.)

Use a UTP cable (CAT5).

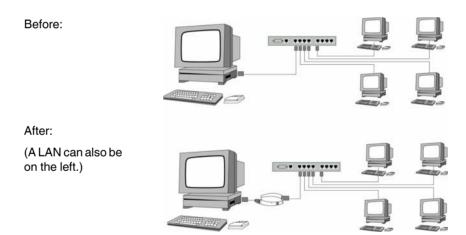


Figure 3-3 FL MGUARD SMART2: Connection to the network.



If your computer is already connected to a network, insert the FL MGUARD SMART2 between the network interface of the computer (i.e., its network card) and the network.

Driver installation is not required.

For security reasons, we recommend you change the default root and administrator passwords during initial configuration.



WARNING: This is a Class A item of equipment. This equipment can cause radio interference in residential areas, and the operator may be required to take appropriate measures.

3.5 Installing the FL MGUARD PCI4000



WARNING: This is a Class A item of equipment. This equipment can cause radio interference in residential areas, and the operator may be required to take appropriate measures.



WARNING: Safe isolation of live circuits is only guaranteed if connected devices fulfill requirements specified by VDE 0106-101 (safe isolation). The supply lines must be isolated or laid separately to live circuits.

3.5.1 Installing the hardware



NOTE: Electrostatic discharge

Before installation, touch the metal frame of the PC in which the FL MGUARD PCI4000 is to be installed, in order to remove electrostatic discharge.

The device contains components that can be damaged or destroyed by electrostatic discharge. When handling the device, observe the necessary safety precautions against electrostatic discharge (ESD) according to EN 61340-5-1 and IEC 61340-5-1.

FL MGUARD PCI4000: structure

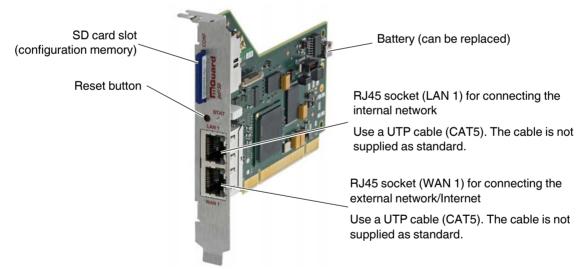


Figure 3-4 FL MGUARD PCI4000 structure

Install the FL MGUARD PCI4000 in a free PCI slot. Observe the notes in the documentation for your system.